

FORM PTO-1390 (REV. 10-2003)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 10287.65
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/501670</b>
INTERNATIONAL APPLICATION NO. PCT/JP03/00316	INTERNATIONAL FILING DATE 16 JANUARY 2003	PRIORITY DATE CLAIMED 17 JANUARY 2002	
TITLE OF INVENTION SYSTEM FOR HOUSING/PROCESSING CARRIER AND METHOD FOR HOUSING/PROCESSING CARRIER			
APPLICANT(S) FOR DO/EO/US Hideji TAJIMA			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</li> <li>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>			
Items 11 to 20 below concern document(s) or information included:			
<ol style="list-style-type: none"> <li>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input checked="" type="checkbox"/> A preliminary amendment.</li> <li>14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</li> <li>15. <input checked="" type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</li> <li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.</li> <li>18. <input checked="" type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li>20. <input checked="" type="checkbox"/> Other items or information: PCT International Search Report; PCT Notification of Receipt of Record Copy; PCT Notification Concerning Submission or Transmittal of Priority Document; PCT Information Concerning Elected Offices Notified of Their Election; PCT International Preliminary Examination Report (Japanese); postcard</li> </ol>			

U.S. APPLICATION NO. (if known, see 37 CFR 1.53) <b>10/501670</b>		INTERNATIONAL APPLICATION NO. PCT/JP03/00316		ATTORNEY'S DOCKET NUMBER 10287.65	
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21. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO. .... \$1080.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$920.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$770.00  International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$730.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00 <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>CALCULATIONS PTO USE ONLY</b>	
				\$ 920.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	42 - 20 =	22	x \$18.00	\$ 396.00	
Independent claims	2 - 3 =	0	x \$86.00	\$ 0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$290.00	\$ 290.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 1,736.00	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ 868.00	
<b>SUBTOTAL =</b>				\$ 868.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ 130.00	
<b>TOTAL NATIONAL FEE =</b>				\$ 998.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$ 0.00	
<b>TOTAL FEES ENCLOSED =</b>				\$ 998.00	
				Amount to be refunded:	\$
				charged:	\$

a. ☒ A check in the amount of \$ 998.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
 A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
 overpayment to Deposit Account No. 08-1394. A duplicate copy of this sheet is enclosed.

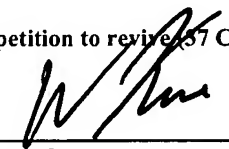
d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card  
 information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive 37 CFR 1.137 (a)  
 or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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Customer No. 27683

SIGNATURE  
  
**Warren Kice**  
 NAME  
22732  
 REGISTRATION NUMBER

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE **10/501670**

In re application of:  
Hideji Tajima

§  
§ Examiner: N/A

Serial No.:  
national phase of PCT/JP03/00316

§  
§ Art Unit: N/A

Filed: herewith

§  
§ Priority Dates:

For: SYSTEM FOR HOUSING/PROCESSING  
CARRIER AND METHOD FOR  
HOUSING/PROCESSING CARRIER

§  
§ 16 January 2003 (PCT)  
§ 17 January 2002 (JP)  
§

**EXPRESS MAIL CERTIFICATE**

**Atten: DO/EO/US**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Express Mail Number: EV333444005US

Date of Deposit: July 15, 2004

I hereby certify that the following attached papers and fee:

1. Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning A Filing Under 35 U.S.C. 371;
2. Initial Information Data Sheet;
3. Preliminary Amendment;
4. Substitute Specification with four (4) sheets of drawings;
5. Copy of the International Application (PCT/JP03/00316) published document w/International Search Report;
6. Japanese Language of the PCT/JP03/00316 international application as filed with an English Language translation;
7. Copy of International Search Report (PCT/JP03/00316);
8. Copy of the Notification of Receipt of Record Copy (PCT/JP03/00316);
9. Copy of the Notification Concerning Submission or Transmittal of Priority Document (PCT/JP03/00316);
10. Copy of the Information Concerning Elected Offices Notified of Their Election (PCT/JP03/00316);
11. Copy of the Notification of Transmittal of The International Preliminary Examination Report – Japanese Language (PCT/JP03/00316);
12. Information Disclosure Statement with PTO Form 1449 and cited references;
13. Check in the amount of \$998.00; and
14. A Return Postcard.

are being deposited with United States Postal Service "Express Mail Post Office to Addressee" to the Commissioner for Patents, Mail Stop Patent Application, DO/EO/US, P.O. Box 1450, Alexandria, VA 22313-1450.

**SANDRA KUBIN**

Typed or Printed Name

*Sandra Kubin*

Signature